

Applications of Organic Solvent Nanofiltration in the Process Development of Active Pharmaceutical Ingredients

Dominic Ormerod



Johnson & Johnson
PHARMACEUTICAL RESEARCH
& DEVELOPMENT
DIVISION OF JANSSEN PHARMACEUTICA N.V.



- Introduction
- A non-thermal solvent exchange.
- Removal of Excess reagents via OSN.
- Reaction product purification.
- Removal of oligomers from a reaction mixture.

Applications of Organic solvent Nanofiltrations

- Solvent operations
 - Concentration of solutes in solvents
 - Solvent exchanges (high - boiling solvent to low boiling solvent)
 - Purifications - separation of high and medium MW species in solvent.

- Catalyst recycle and reuse
- Dynamic kinetic resolution
- Chiral separations (host-guest interactions)
- Biotransformations

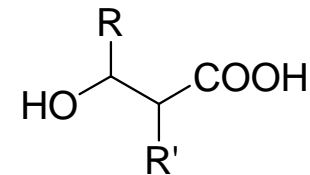
Example 1 : Non-thermal solvent exchange.

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- Solvent switch $\text{H}_2\text{O} \rightarrow$ acetone

Problem

- Synthesis of 1 carried out in H_2O
- Following synthetic step performed in acetone,
for which it has been determined that H_2O content must be less than 1%.
- Under standard distillation conditions 1 undergoes rapid degradation.
- Loss of 1 is typically 20% but can be as high as 40%.

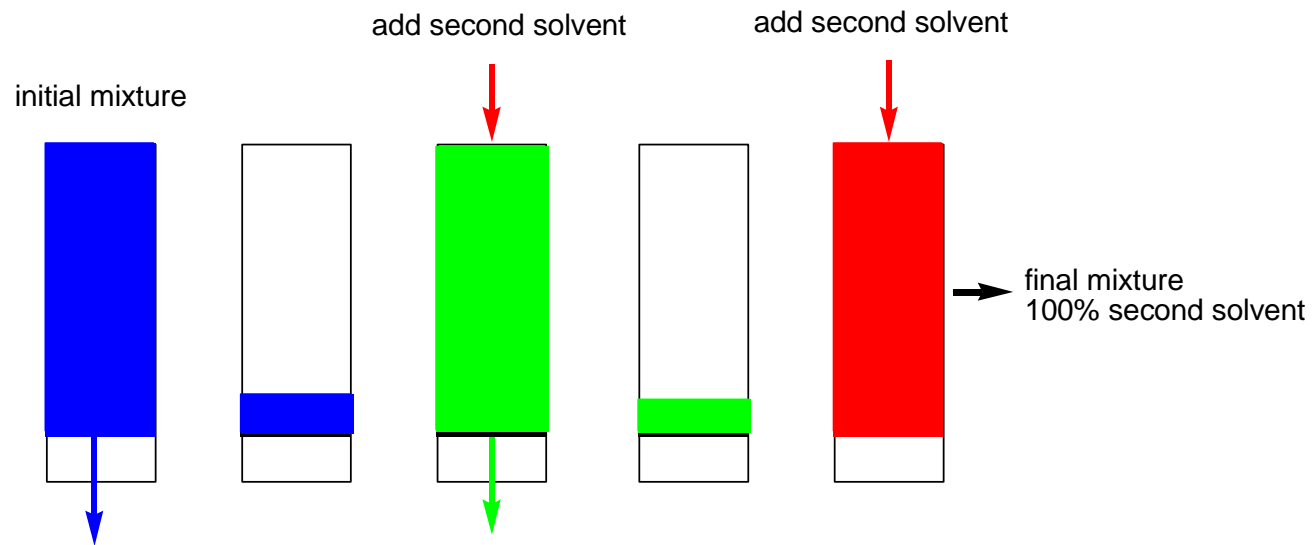


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M.W. = 174

Example 1 : Non-thermal solvent exchange

- Solvent switch H_2O \rightarrow acetone



Example 1 : Non-thermal solvent exchange

- Solvent switch H₂O → acetone

Water exchanged for acetone at room temperature

Solute : 1.2TEA salt MW salt = 376
(free acid 174)

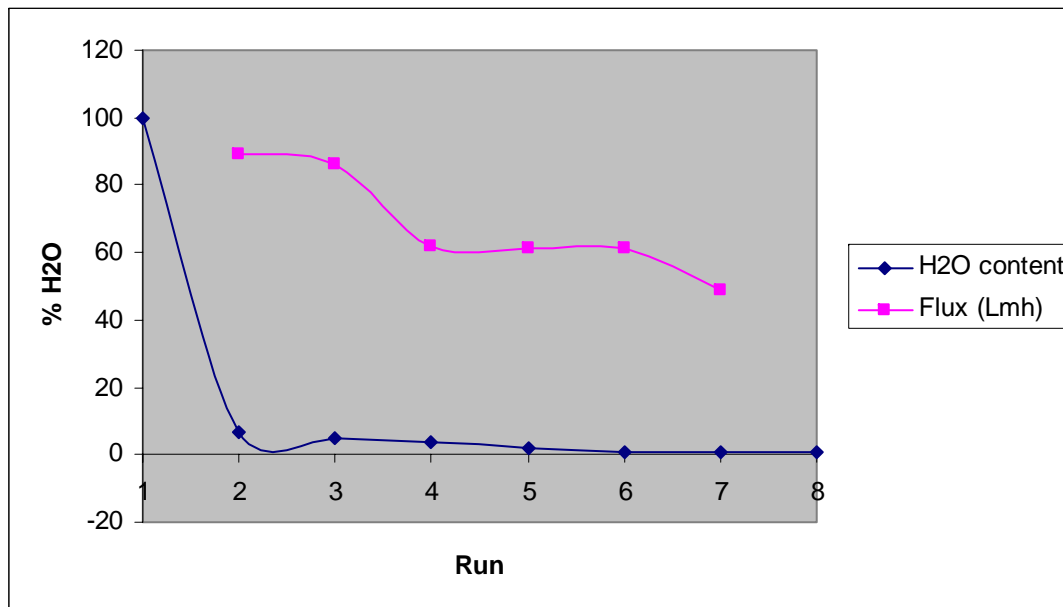
pressure 20 bar, Starmem 120
(MWCO = 200 Da)

Yield **1** in acetone 75%

origin	1 (g)	H ₂ O wt/wt %
sm	11.5	/
permeate 1	0.2	6.95
permeate 2	1.0	4.84
permeate 3	0.9	3.82
permeate 4	0.5	1.91
permeate 5	0.6	0.92
permeate 6	0.6	1.05
retentate	8.6	0.76

Example 1 : Non-thermal solvent exchange

- Solvent switch $\text{H}_2\text{O} \rightarrow$ acetone



Example 1 : Non-thermal solvent exchange

- Solvent switch H₂O → acetone

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- Exchange high boiling point to low boiling point solvent easy
- Yield of 1 is competitive with present distillation process
- Chemical purity is better with nanofiltration as no degradation of 1 was observed

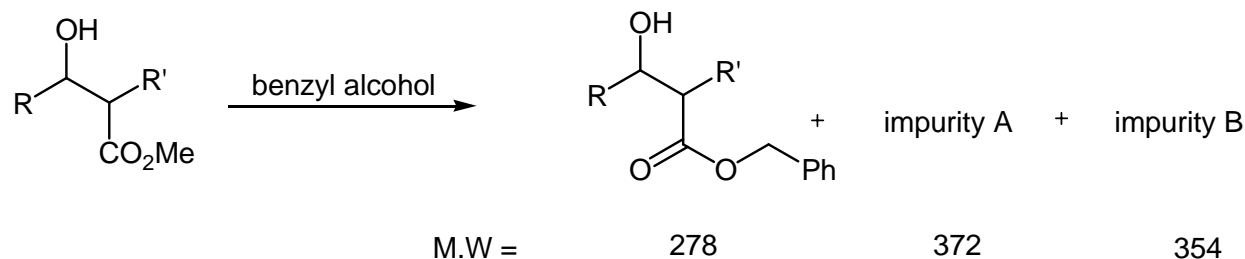
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- Long term membrane stability after 6 days in contact with the triethylamine salt of 1 membrane degradation observed
- More stable crosslinked membrane (MWCO = 230) didn't work rejection of 1 was almost 0
- Use of free acid as solute and an RO membrane failed rejection of 1 was 69%

Example 2 : Removal of excess reagents

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- Transesterification reaction from a methyl ester to a benzyl ester in a molecule whose functionality amongst others includes a secondary alcohol.

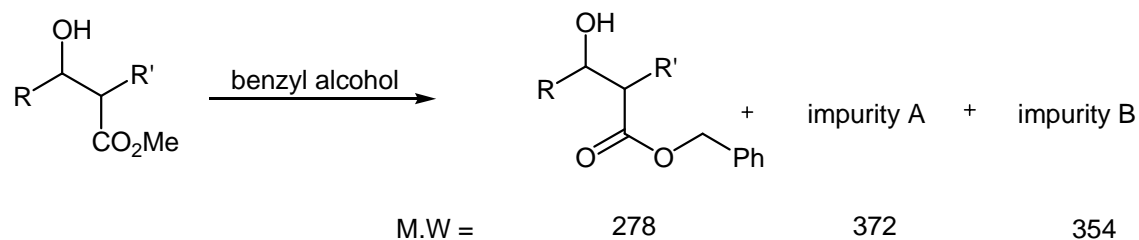


Problem

- Reaction require a large excess of Benzyl alcohol
- Excess Benzyl alcohol must be removed prior to the following synthetic step
- Removal of the excess benzyl alcohol via distillation is not an option.

Example 2 : Removal of excess reagents

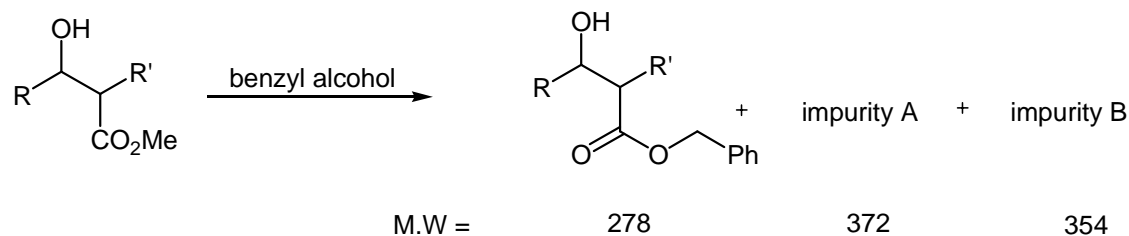
- Options to remove benzyl alcohol.



- Chromatography** : works well but requires a large quantity of silica.
- Nanofiltration**

Example 2 : Removal of excess reagents

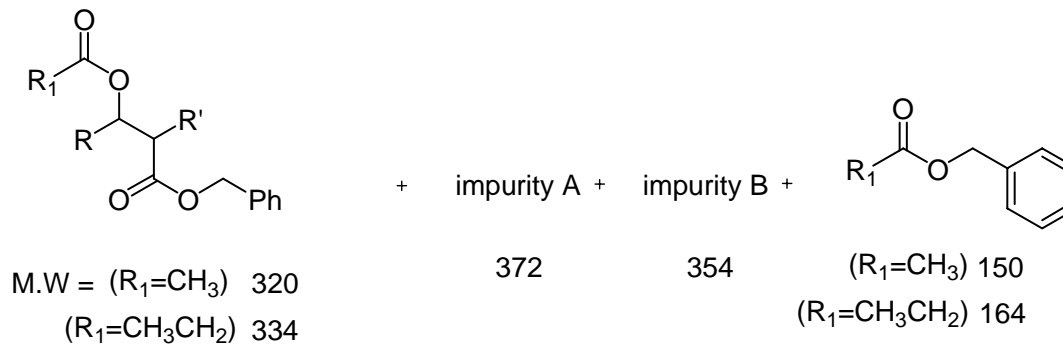
- Direct OSN of the reaction mixture.



membrane	Pressure (bar)	Flux (L m ⁻² h ⁻¹)	Rejection (product)	result
Starmem – 120 (MWCO 200)	20	14	50%	Insufficient separation of benzyl alcohol and product

Example 2 : Removal of excess reagents

- OSN of reaction mixture after acylation.



R ₁	membrane	Pressure (bar)	Flux (L m ⁻² h ⁻¹)	Rejection (acylated product)	Result
CH ₃	Sarmem – 120 (MWCO 200)	20	24	85%	Benzyl ester separated from acylated product, impurities remain
CH ₃ CH ₂	Sarmem – 122 (MWCO 220)	20	48	90%	

Yield acyl ester in the retentate (R = CH₃CH₂-) = 88%

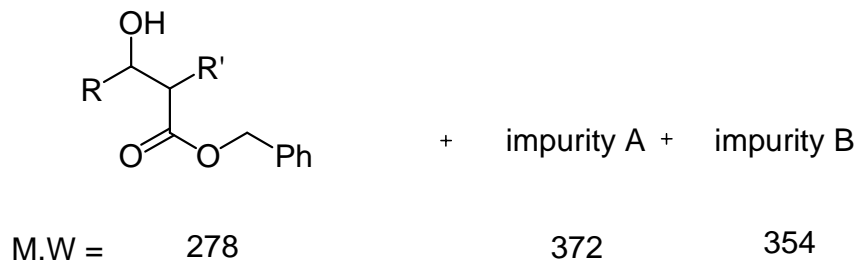


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Impurities A and B can be removed with a second filtration over a membrane with MWCO = 400

Example 2 : Removal of excess reagents

- OSN after selective oxidation.



membrane	Pressure (bar)	Flux (L m ⁻² h ⁻¹)	Rejection (product)	Rejection (impurity A)	Rejection (impurity B)	result
Starmem – 122 (MWCO 220)	20	7	67%	92%	100%	Product obtained in the permeate not 100% pure

Example 2 : Removal of excess reagents

- Summing up.

Removal of excess benzyl alcohol

- Failed directly on reaction mixture.
- Possible on product after further transformation
2 filtration steps required.
- Possible after oxidation process.
Product obtained in the permeate
Not 100% pure

Example 3 : Reaction product purification

Example 3 : Reaction product purification

- A reaction that failed gave a mixture of product MW = 200 and a dimer MW = 368
- Reaction mixture can be purified by chromatography but not used here.

Removal of dimer from product

membrane	Starmem 122 (MWCO 220 Da)		GC area %			
	Toluene	methanol	product	RRT1.13	Dimer	
solvent	Toluene	methanol				
Pressure (bar)	20	10				
Flux (L m⁻² h⁻¹)	1.7	3.6				
Dimer rejection	96%	87%				
			starting material	56.1	*	36.9
			toluene permeate	95.6		1.8
			methanol permeate	91.9	1	4.6
			retentate	42.8	4.9	47.8

Example 4 : Removal of oligomers from a reaction mixture

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Problem :

- Organometallic reaction that is an equilibrium reaction.
- Reaction produces product (MW 670) and a number oligomers with MW > 1000
- Quantity of oligomers can be as high as 20%
- Oligomers are difficult to analyse and are not visible using HPLC
- These oligomers block the active sites of silica and thus prevent automated chromatographic techniques.

Example 4 : Removal of oligomers from a reaction mixture

Removal of oligomers

membrane	Desal GH (MWCO 1000 Da)				
solvent	Acetic acid	Analysis results wt/wt%			
Pressure (bar)	22	purification	product	rest	oligomers
Flux (L m ⁻² h ⁻¹)	7.7	none	63	21.7	15.3
Rejection product	97%	crystallization	78	13.9	8.1
Yield isolated product	30%	nanofiltration	83	10.1	6.9

Example 4 : Removal of oligomers from a reaction mixture

Membrane-extraction-technology results

Removal of oligomers

membrane	Desal GH (MWCO 1000 Da)	MET-L3431H (MWCO 700 Da)
solvent	Acetic acid	THF
Pressure (bar)	22	30
Flux (L m ⁻² h ⁻¹)	7.7	87
Rejection product	97%	89.5
Diafiltration volume	/	35
Yield isolated product	30%	98.5

Example 4 : Removal of oligomers from a reaction mixture

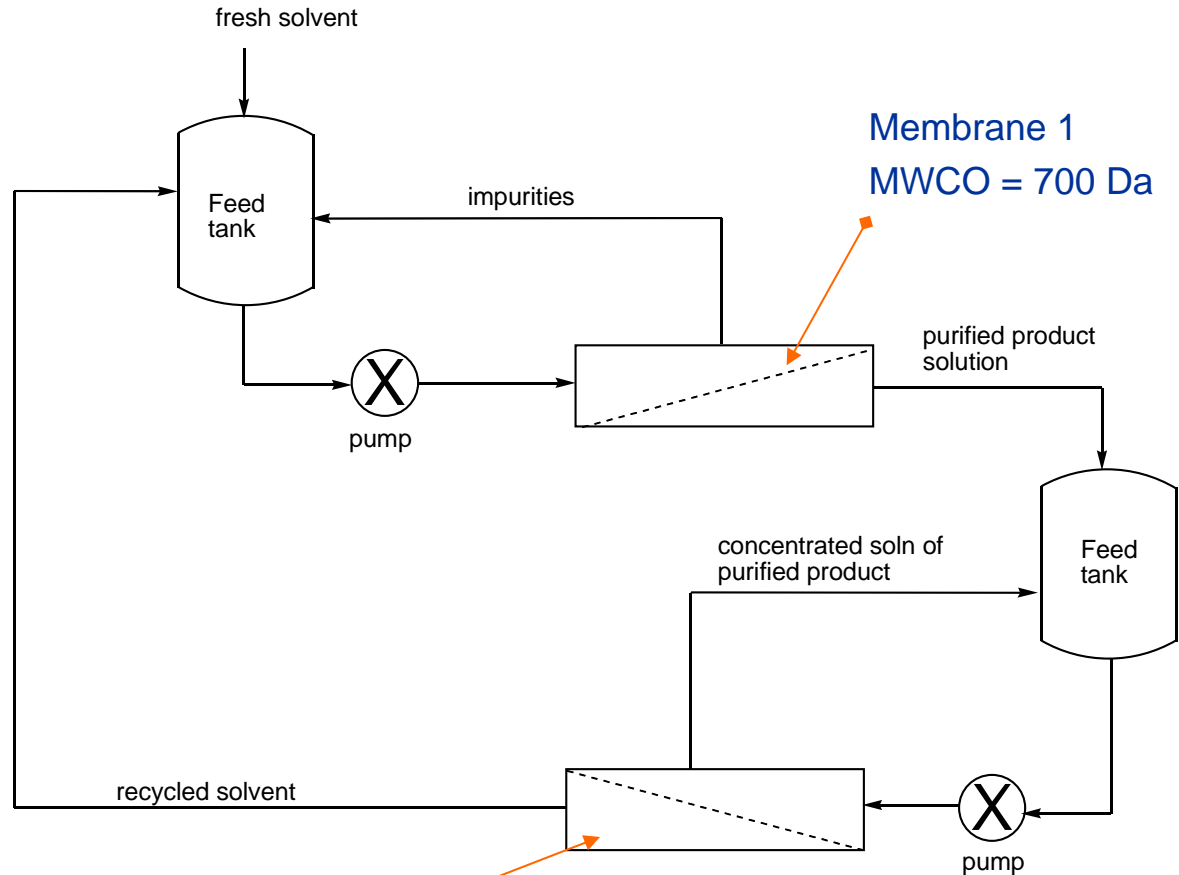
- MET process development

Membrane 1: MET-L2431H
(MWCO = 700)
Pressure 10 bar, 30°C
Flux $28 \text{ l m}^{-2} \text{ h}^{-1}$
Product rejection = 58.5%

Membrane 2: MET-L1813H
(MWCO = 230)
Pressure 60 bar, 30°C
Flux $5 \text{ l m}^{-2} \text{ h}^{-1}$
Product rejection = 99.6%

Yield product 98.5%

Oligomeric impurity at end process 2%



Membrane 2
MWCO = 230 Da

Summary

- Nanofiltration over Solvent Resistant Membranes offers several opportunities for use in scale – up.
- Technique is complementary to other separation techniques already available
- Scale-up is relatively easy
- Solvent/energy use is potentially low.

Acknowledgements

- Dirk Lauwers
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- Membrane extraction technology