

# Totally water based synthesis routes to metal oxide nanostructures with electronic applications

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# Motivation

## Water based synthesis of metal oxides

Fundamental aspects & chemistry  
of water based synthesis methods

New materials

New applications

Development of new synthetic methods  
without hazardous solvents

## **Water based solution-gel**

general principles  
precursor solutions

## **Water based chemical solution deposition of films**

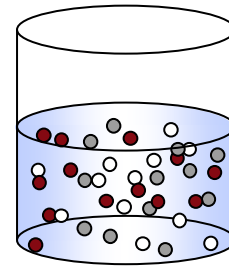
wetting  
thin layers  
'islands'  
ultrathin layers

## **Water based synthesis of nanoparticles**

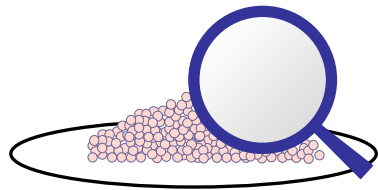
solution-gel & hydrothermal synthesis

## **Nanostructured layers**

packaging & green photovoltaics

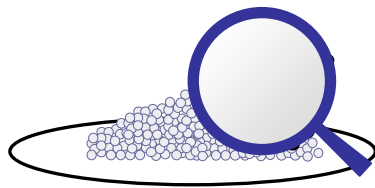


Preserve  
Chemical homogeneity



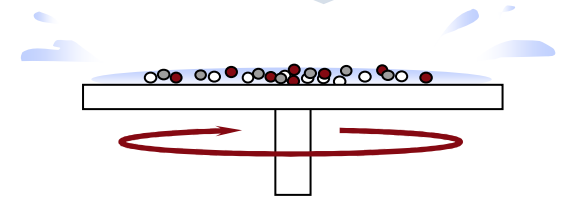
Gel powder

Thermal treatment

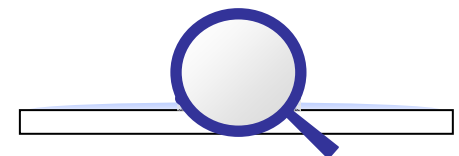


Oxide powder

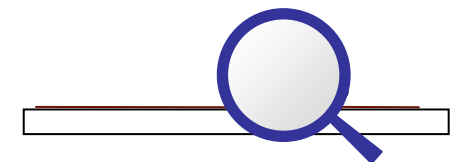
- 😊 Homogeneous mixture of metal ions
- 😊 Stoichiometry control
- 😊 Possibility for film deposition by CSD
- 😞 Expensive alkoxides
- 😞 Hazardous solvents
- 😞 Protection from moisture / air



Film deposition

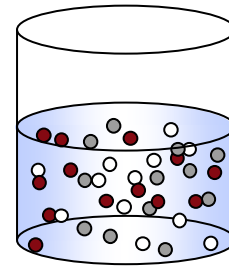


Thermal treatment

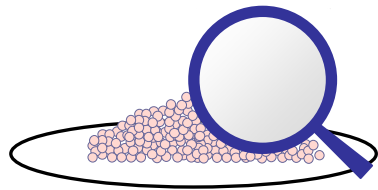


Oxide layer

# Water based Solution-gel Introduction

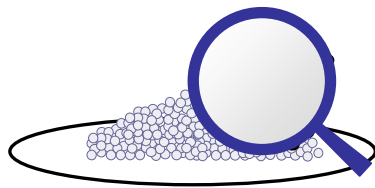


Preserve  
Chemical homogeneity



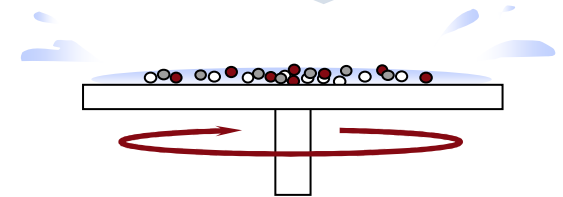
Gel powder

Thermal treatment

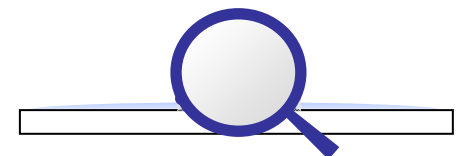


Oxide powder

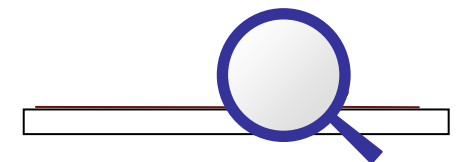
- ☺ Homogeneous mixture of metal ions
- ☺ Stoichiometry control
- ☺ Possibility for film deposition by CSD
- ☺ Inexpensive metal sources (e.g. salts)
- ☺ Reduced risk for environment / health
- ☺ No protection from moisture / air



Film deposition



Thermal treatment



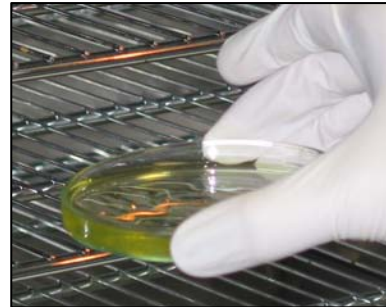
Oxide layer

# Water based solution gel

## General principles



Metal salts  
in water



$T \uparrow$   
evaporation of  $H_2O$



Metal carboxylate  
network

PROBLEM

$Zr^{4+}$ ,  $Nb^{5+}$ ,  $Ta^{5+}$ ,  $Ti^{4+}$ , ... are not stable in water

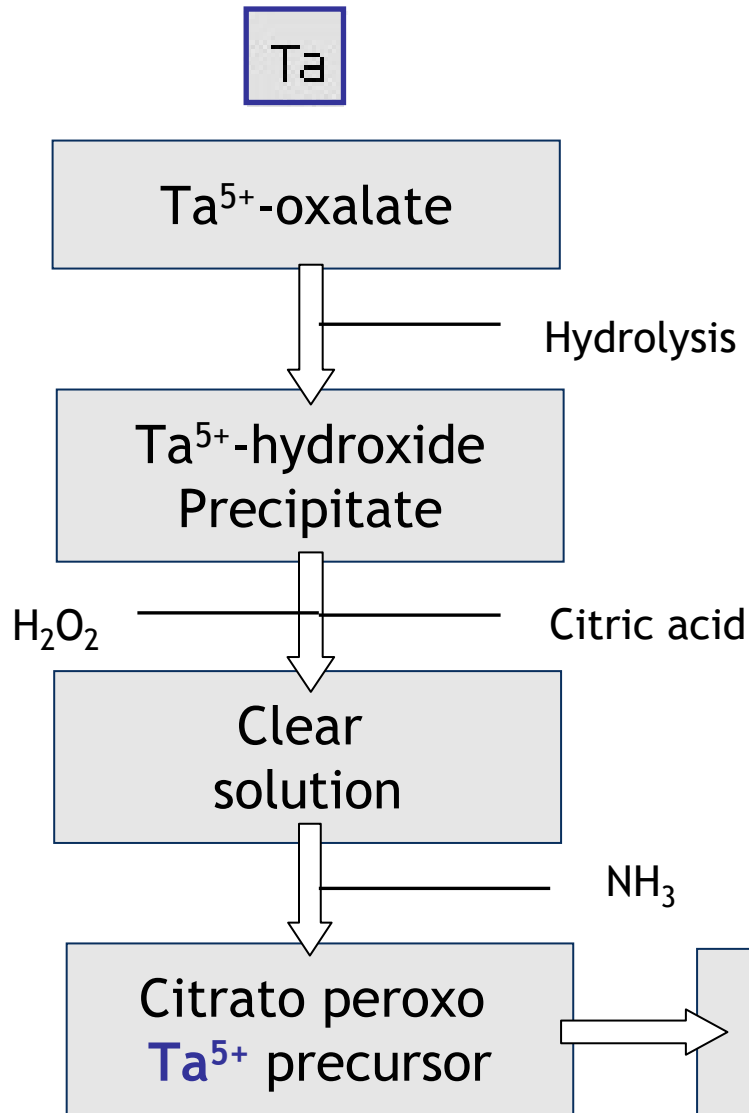
WE NEED

Ways to stabilize metal ions in aqueous solution  
in conditions suitable for gel formation  
(pH, concentration, other ions, additives, viscosity, ...)

APPROACH

Complexation with electron donating ligands  
e.g. citrate, acetate, peroxy

# Water based solution gel Precursor solutions



*Nelis et al. (2001) J. Eur. Ceram. Soc.*

- Citratoperoxo **Ti<sup>4+</sup>** from  $\text{Ti}(\text{O}^i\text{Pr})_4$   
Hardy et al. (2003) J. Eur. Ceram. Soc.
- Citratoperoxo **Zr<sup>4+</sup>** from  $\text{Zr}(\text{O}^n\text{Pr})_4$   
Van Werde et al (2007) J. Mater. Sci
- Citratoperoxo **Ru** from  $\text{Ru}(\text{acac})_3$   
Pagnaer et al. (2004) J. Eur. Ceram. Soc.
- Citratoperoxo **Nb<sup>5+</sup>** from  $\text{Nb}^{5+}$  oxalate  
Van Werde et al (2001) J. Mater. Chem
- Citratoperoxo **W<sup>6+</sup>** from  $(\text{NH}_4)_6\text{W}_{12}\text{O}_{39}$   
Hardy et al (2007) Chem. Mater.
- Citratoperoxo **Mo<sup>6+</sup>**  $(\text{NH}_4)_6\text{Mo}_7\text{O}_{24} \cdot 4\text{H}_2\text{O}$   
ibidem
- Nitrilotriacetatoperoxo **V<sup>5+</sup>** from  $\text{NH}_4\text{VO}_3$   
ibidem

↓

Suitable precursors for

$\text{SrBi}_2\text{Ta}_2\text{O}_9$	SBT
$(\text{Bi},\text{La})_4\text{Ti}_3\text{O}_{12}$	BLT
and variants with V, Mo, W	
$\text{Pb}(\text{Zr},\text{Ti})\text{O}_3$	PZT
$(\text{Pb},\text{Ca})\text{TiO}_3$	PCT
$\text{SrRuO}_3, \text{RuO}_2, \text{TiO}_2$	<sub>7</sub>

# Water based solution gel

## Precursor solutions

H																	He
Li	Be											B	C	N	O	F	Ne
Na	Mg											Al	Si	P	S	Cl	Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt									

Water soluble  
 Citrato peroxo complex

Stabilized  $M^{n+}$  carboxylate solution

Acetate

Citrate

Lactate

...

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr



## Water based solution-gel synthesis

general principles

precursor solutions for 'all' metal ions & combinations

## Water based chemical solution deposition of films

wetting

examples thin layers

'islands'

screening & ultrathin layers

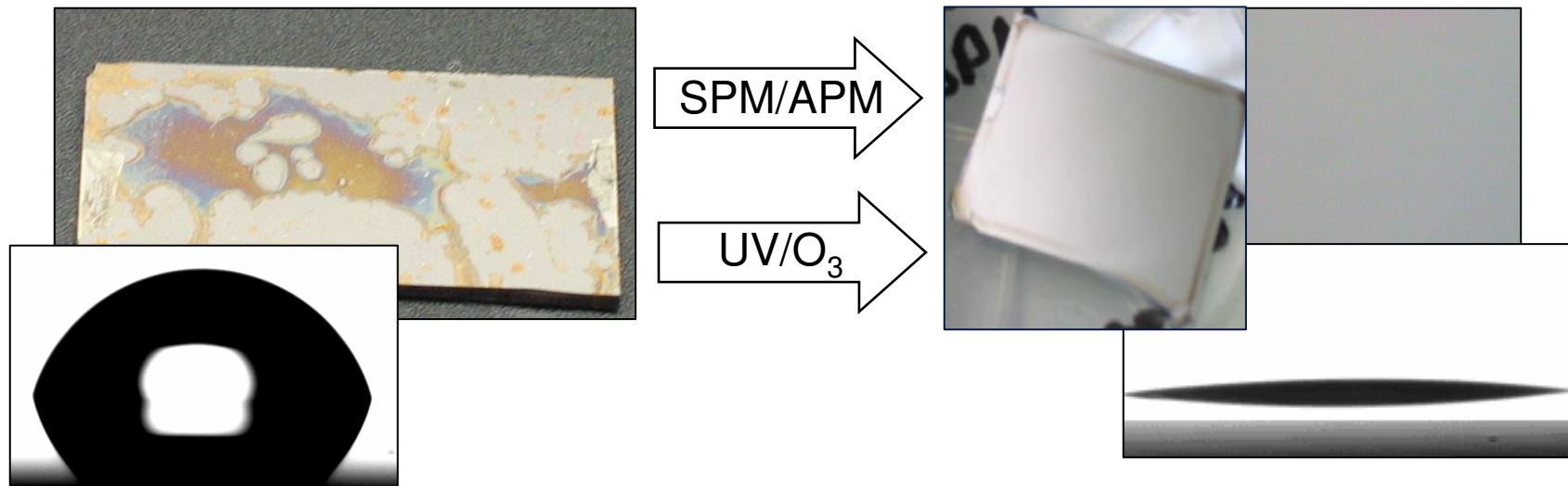
## Water based synthesis of nanoparticles

solution-gel & hydrothermal synthesis

## Nanostructured layers

packaging & green photovoltaics

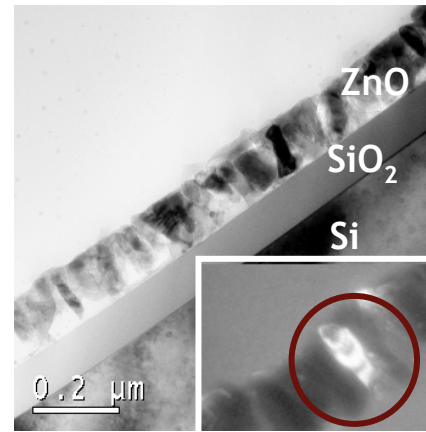
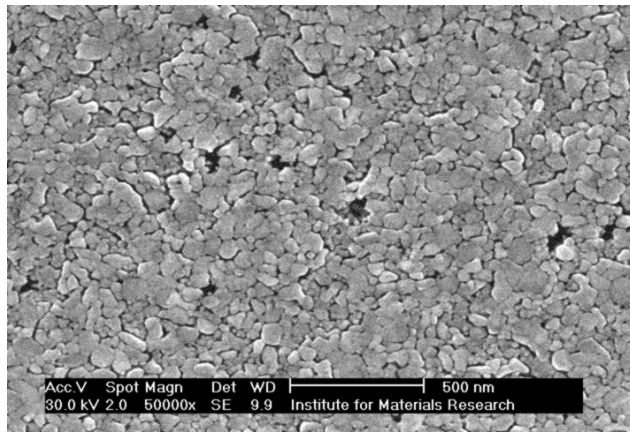
# Aqueous Chemical Solution Deposition Wetting



# Aqueous Chemical Solution Deposition

## Examples: ZnO

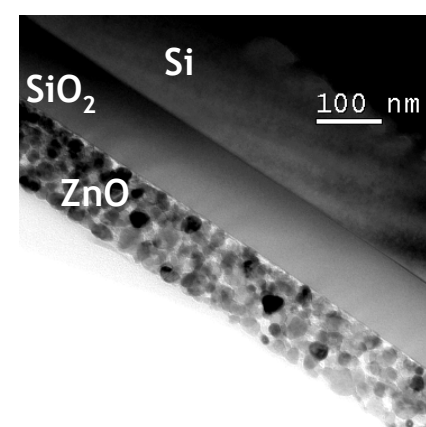
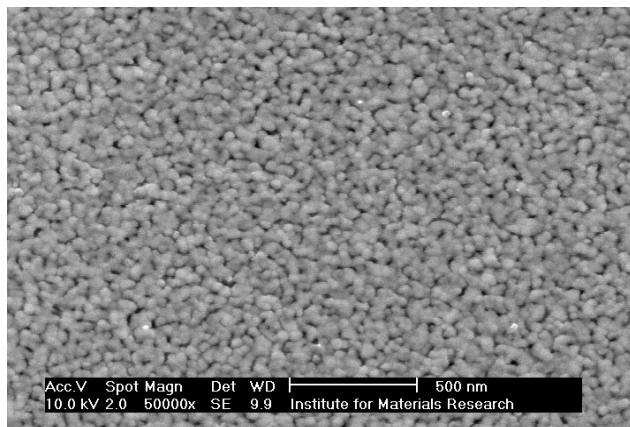
### ZnO films from water based Zn-citrate precursor



21 layers 0,37 M

dense

Column-like crystals



2 layers 1,0 M

polycrystalline

No column-like crystals

# Aqueous Chemical Solution Deposition

## Examples: ZnO:Al

### Transparent conducting oxides (ZnO:Al)

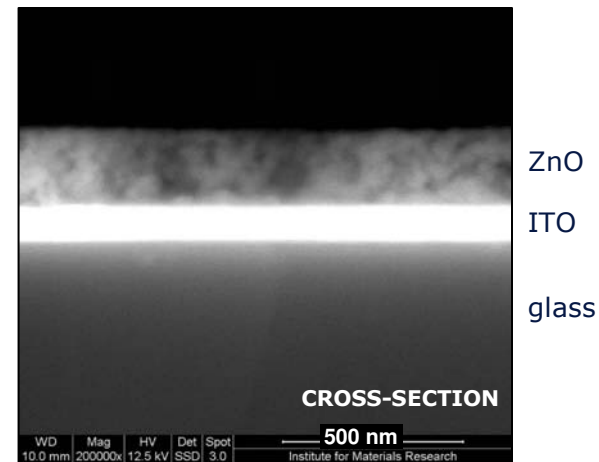
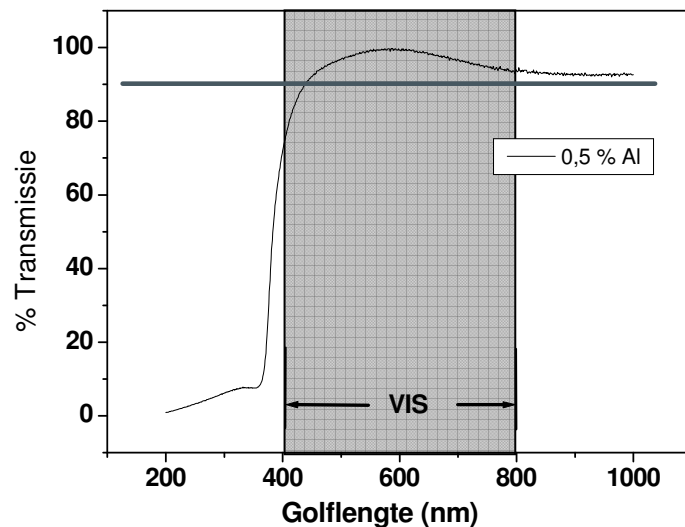
Good conductivity

Resistivity  $\downarrow \sim 5 \cdot 10^{-3} \Omega \cdot \text{cm}$

High transparency

Transparency  $\uparrow > 90 \%$

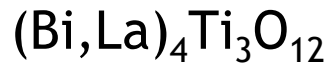
ZnO + 0,5% Al<sup>3+</sup>



# Aqueous Chemical Solution Deposition

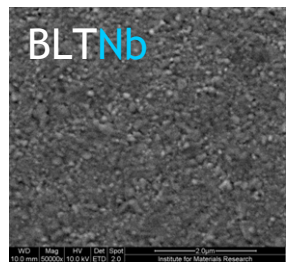
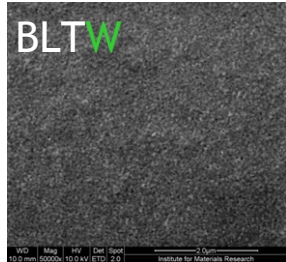
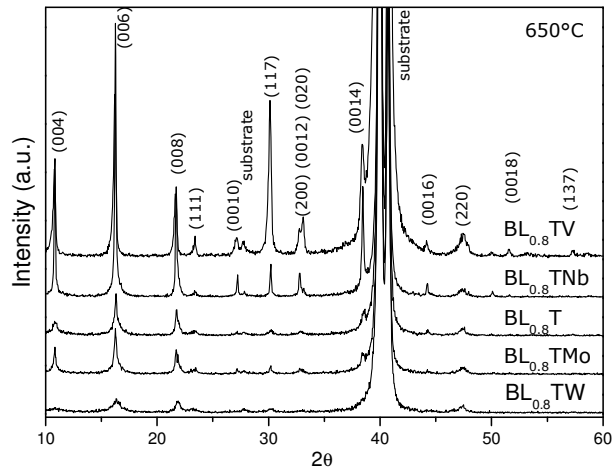
## Examples: ferroelectric films

### Compositional flexibility



Co-substitution  $\text{Ti}^{4+}$

with  $\text{Mo}^{6+}$ ,  $\text{W}^{6+}$ ,  $\text{Nb}^{5+}$  or  $\text{V}^{5+}$



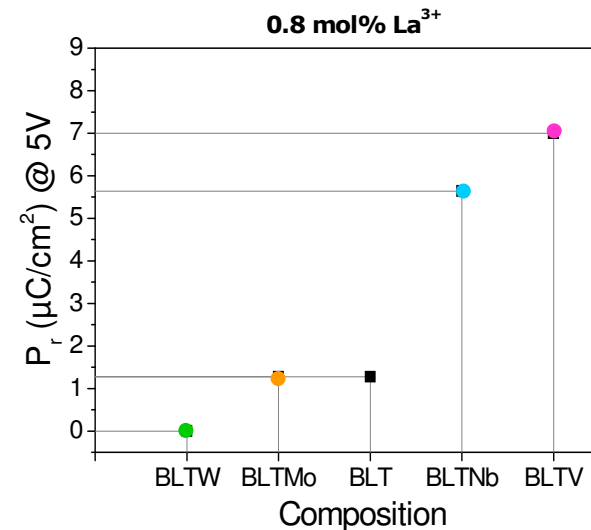
$\neq P_r$  of BLT and BLTV ~ orientation

$\neq P_r$  of BLT, BLTW, BLTMo and BLTNb ~ crystallinity:

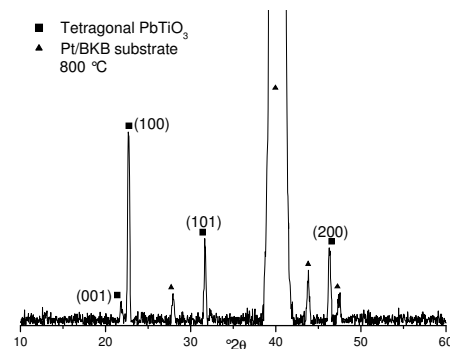
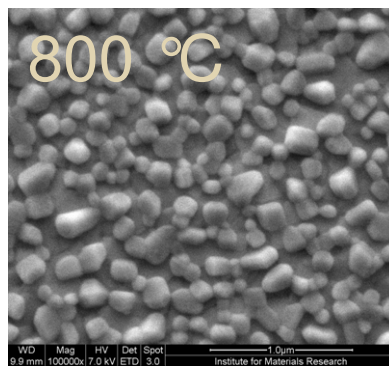
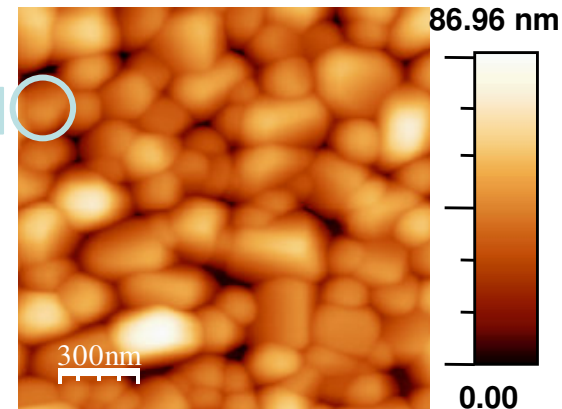
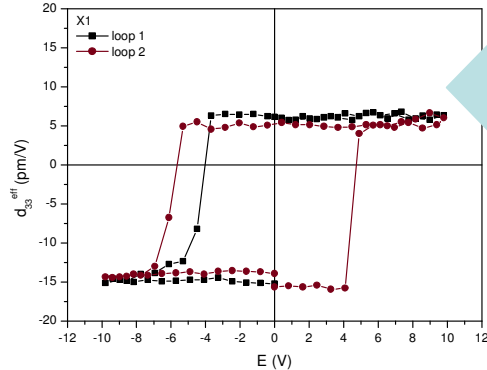
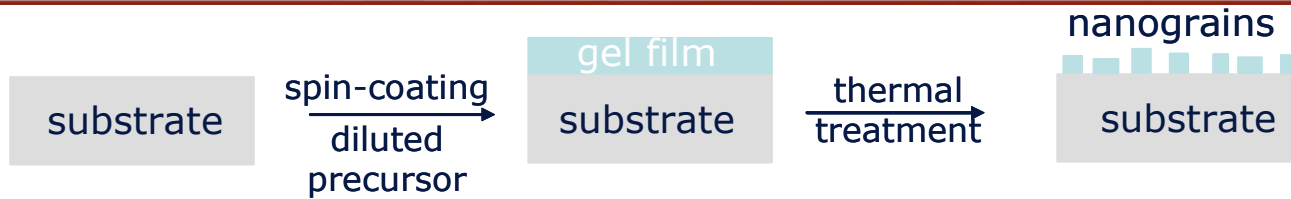
$\text{W}^{6+}$  prevents grain growth,

$\text{Nb}^{5+}$  stimulates grain growth,

$\text{Mo}^{6+}$  no effect / decrease XRD peak intensity

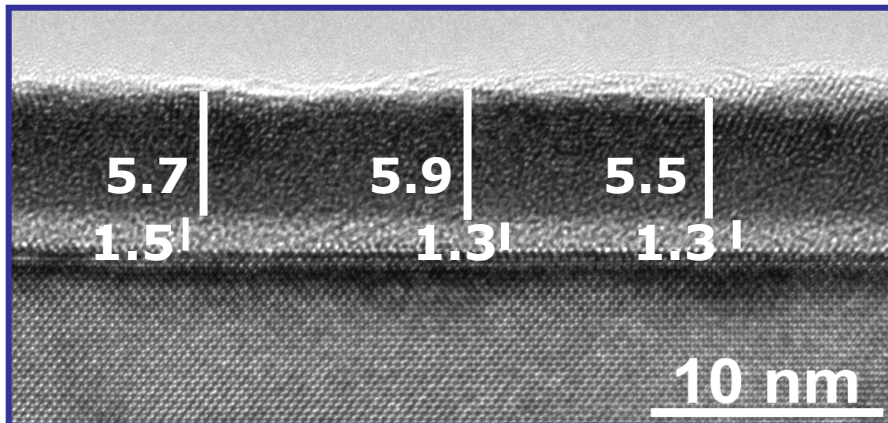


# Aqueous Chemical Solution Deposition 'nano' islands



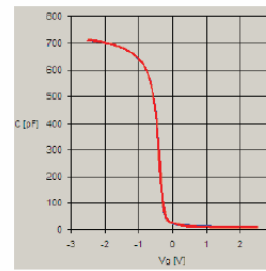
# Aqueous Chemical Solution Deposition Ultrathin layers

Ultrathin layers  
 Suitable for screening  
 of alternative high-K oxides  
 Dielectric quality  $\approx$  ALD

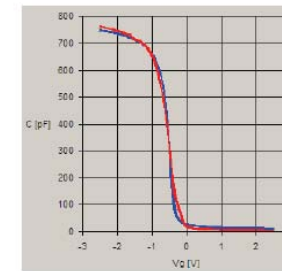


EOT down to 2.4 nm obtained  
 K-value

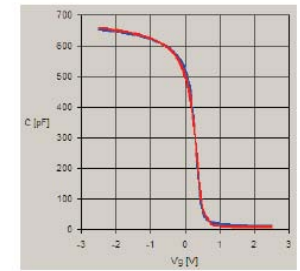
$\text{Pr}_2\text{O}_3$  and  $\text{Nd}_2\text{O}_3$ :  $K = 14$   
 $\text{ZrO}_2$ :  $K = 19$



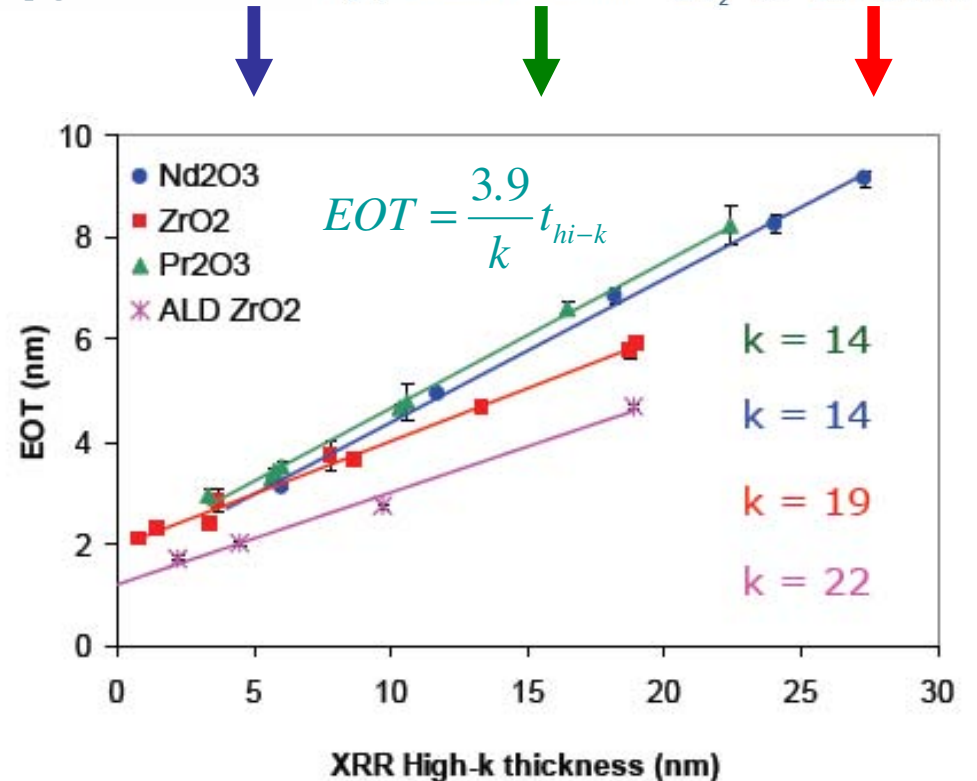
$\text{Nd}_2\text{O}_3$  - S2 - EOT=3.34nm



$\text{Pr}_2\text{O}_3$  - S15 - EOT=3.25nm



$\text{ZrO}_2$  - S3 - EOT=2.40nm



## Water based solution-gel synthesis

general principles

precursor solutions for 'all' metal ions & combinations

## Water based chemical solution deposition of films

wetting

examples thin layers

'islands'

screening & ultrathin layers

## Water based synthesis of nanoparticles

solution-gel & hydrothermal synthesis

## Nanostructured layers

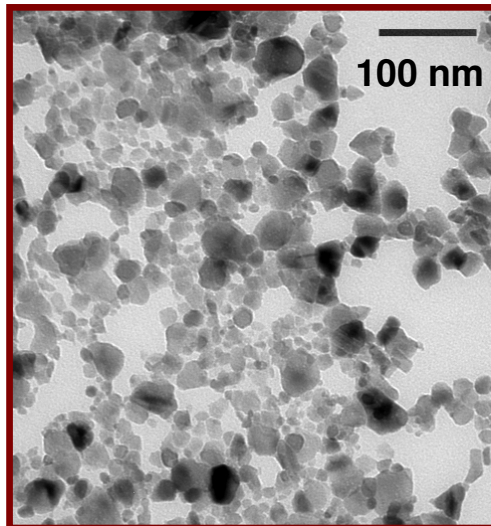
packaging & green photovoltaics



# Nanoparticles

## Water based synthesis: examples

ZnO:Al

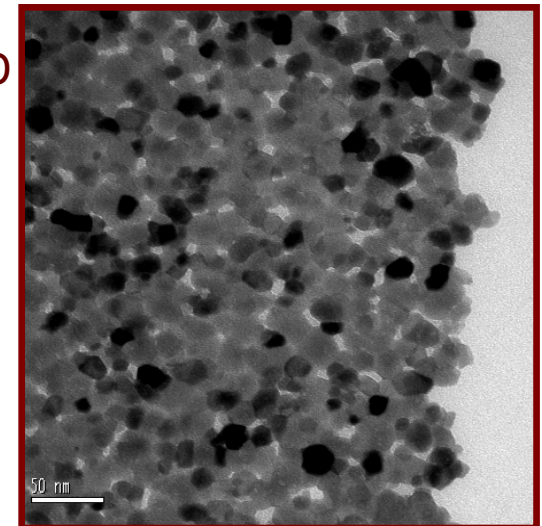


### Water based solution-gel

Multi metal oxides

Agglomeration issues

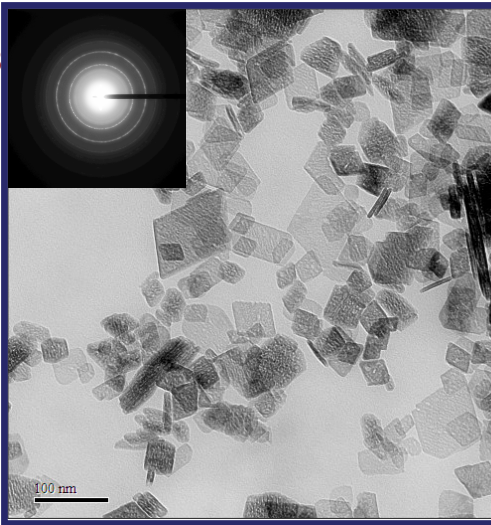
ITO



# Nanoparticles

## Water based synthesis: examples

$\text{Al}_2\text{O}_3$



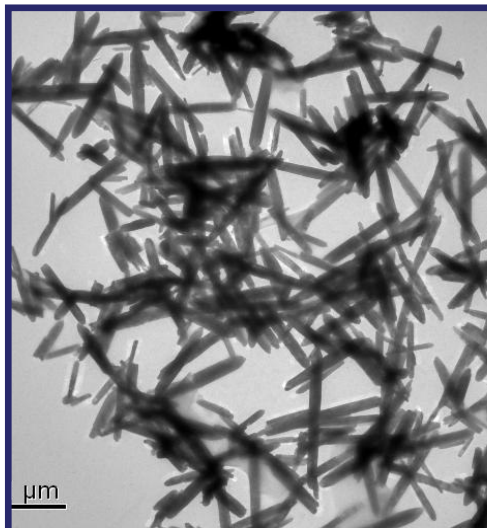
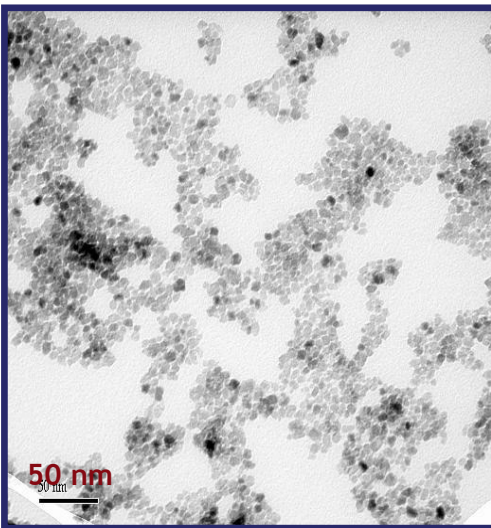
### Hydrothermal methods

Less agglomeration

Different morphologies

depending on conditions

$\text{TiO}_2$

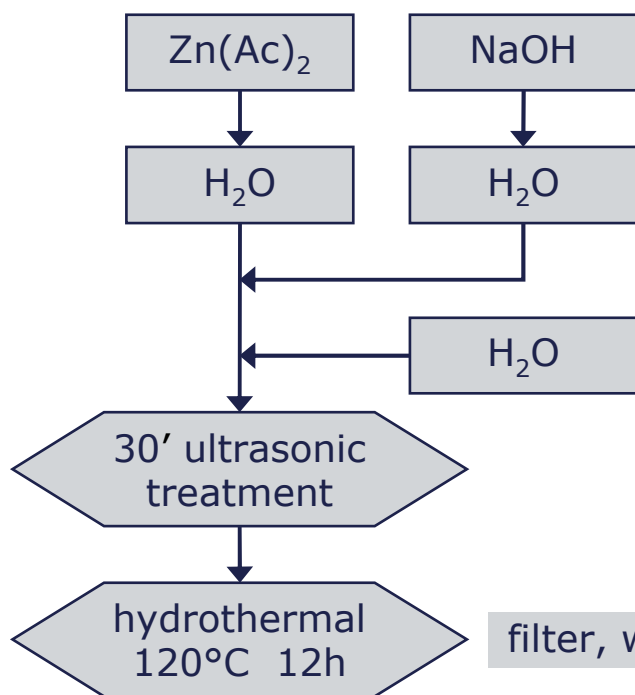


# Nanoparticles

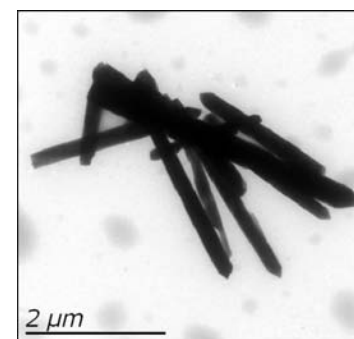
## Water based synthesis: examples



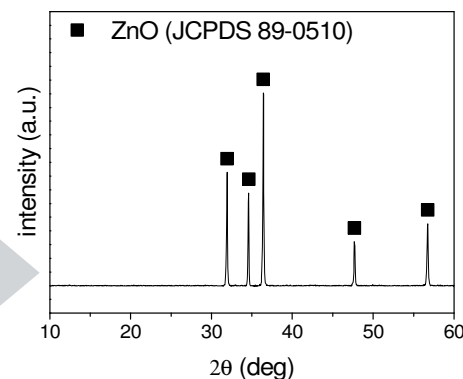
ZnO nanorods  
 Precipitation in hydrothermal conditions  
 Without (organic) additives



TEM



XRD



# Nanoparticles

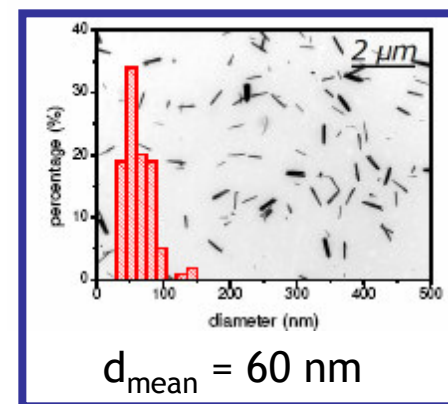
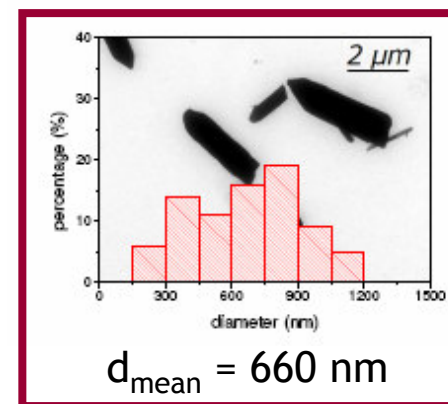
## Influence of synthesis conditions

### Design of experiments

“An efficient procedure for planning experiments so that the data obtained can be analyzed to yield valid and objective conclusions.”

2<sup>8-4</sup> fractional factorial design of resolution IV

	variable	low level (-)	high level (+)
1	temperature (°C)	80	200
2	time (h)	4	48
3	heating rate (°C/min)	1	4
4	[Zn <sup>2+</sup> ] (mmol)	5	20
5	[Zn <sup>2+</sup> ] : [OH <sup>-</sup> ]	1 : 8	1 : 12
6	zinc source	Zn(Ac) <sub>2</sub> ·2H <sub>2</sub> O	ZnCl <sub>2</sub>
7	stirring	no	yes
8	ultrasonic treatment	no	yes



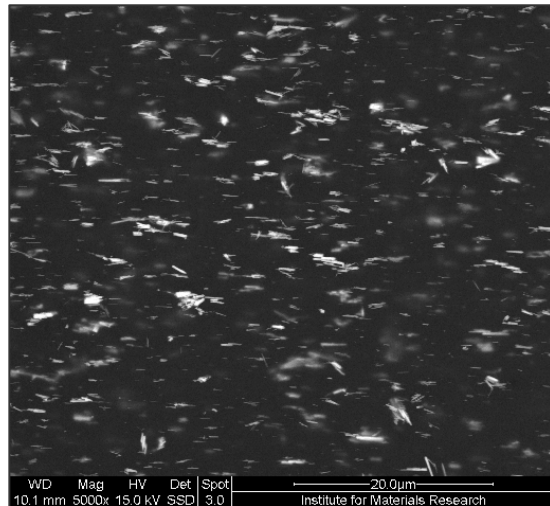
G. Box et al.: Statistics for Experimenters (1978)

K. Elen, H. Van den Rul, A. Hardy, M. K. Van Bael, J. D'Haen, R. Peeters, D. Franco, J. Mullens (2008) submitted  
 K. Elen, M. K. Van Bael, H. Van den Rul, J. D'Haen, R. Peeters, D. Franco and J. Mullens: Chem. Lett. 35 (2006) 1420.

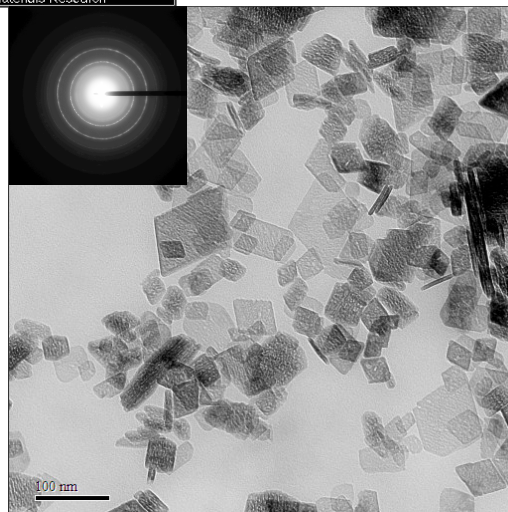
# Nanoparticles In food packaging

Nanoparticles dispersed in packaging foils

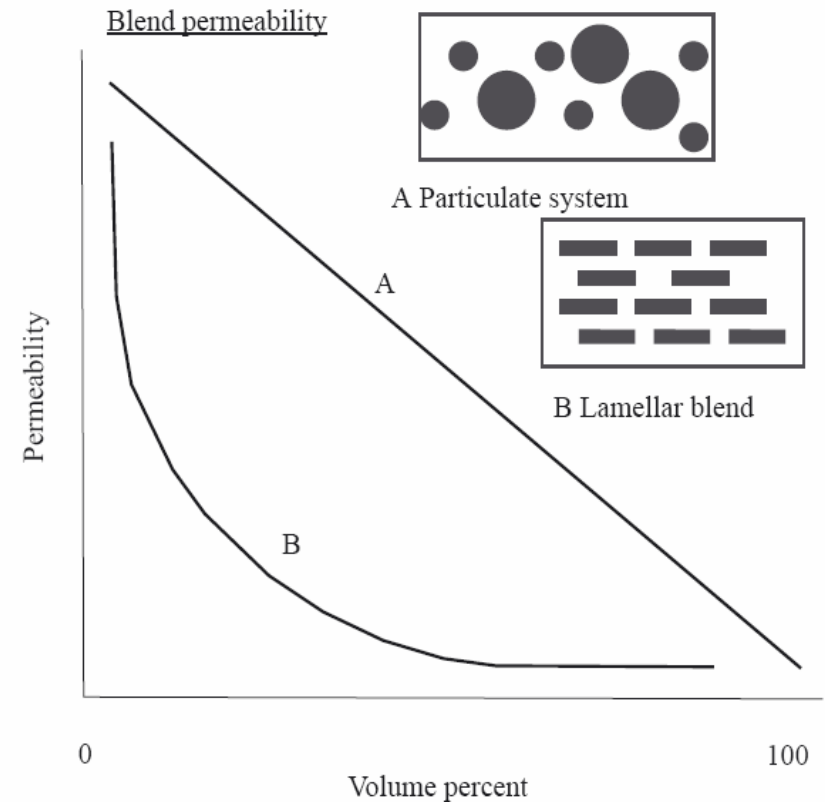
→ reduce gas permeability



ZnO rods  
dispersed in PP



Al<sub>2</sub>O<sub>3</sub> platelets



N. Lepot, M. K. Van Bael et al. Polimery, 51, 9 (2006)  
 N. Lepot, M. K. Van Bael et al. Materials Letters 61, 13 (2007)  
 N. Lepot, M. K. Van Bael et al. Ceramics International (2007) 21

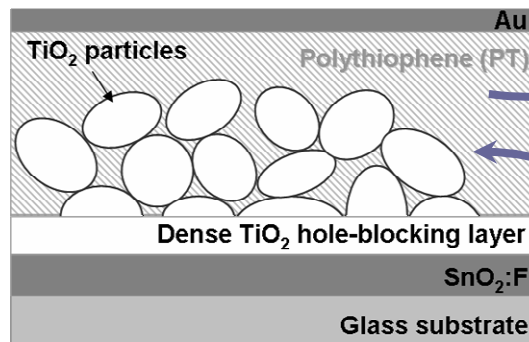
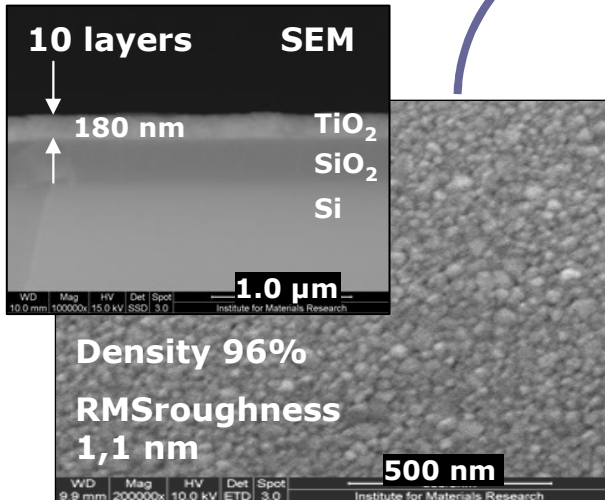
# Nanostructured layers

## In 'green' photovoltaics

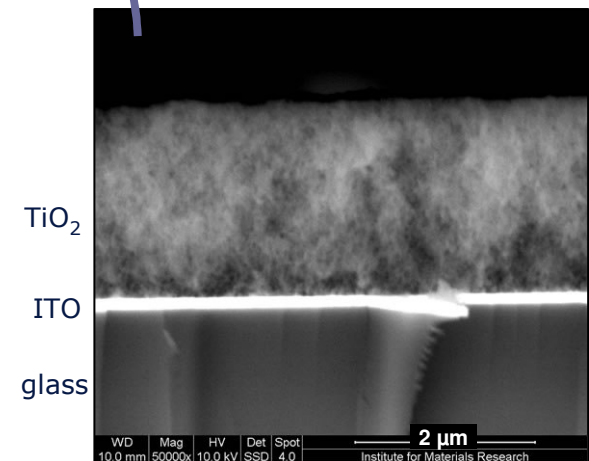
TiO<sub>2</sub> films from water based citrato-peroxo-Ti precursor solution  
 In 'green' solid state photovoltaic cell with water soluble polythiophene

Thin - dens

600° - 650° C  
 Pure anatase



Thick - porous  
 pore former PVA / HPC  
 Or dispersion nanoparticles  
 450° C  
 Pure anatase



Promising results

*Haeldermans et al.*  
*Thin solid films (2007)*

*Truijen, Van Bael et al. (2007) J. Sol-Gel Sci. Technol. 41(1)*  
*Truijen, Van Bael et al. (2007) J. Sol-Gel Sci. Technol. 43(3)*

*Beusen, Van Bael et al. (2007) J. Eur. Ceram. Soc.*  
*Truijen, Haeldermans et al. (2007) J. Eur. Ceram Soc. 22*

Water based routes are versatile synthesis methods

Ecologic, economic and practical advantages

Suitable for the fabrication of complex oxide materials  
in different morphologies  
(ultra)thin films & islands

Future :  
extension of materials - nanostructures  
Assessment of technological possibilities

# Acknowledgements

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